

*United States Court of Appeals
for the Second Circuit*



**APPELLEE'S
APPENDIX**

Original with Affidavit of
Mailbag

76-1100

B
P/S

UNITED STATES COURT OF APPEALS

FOR THE SECOND CIRCUIT

Docket No. 76-1100

UNITED STATES OF AMERICA,

Appellee,

-against-

PAUL V. OATES,

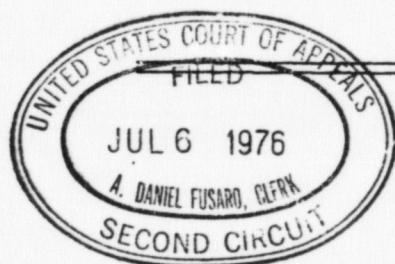
Appellant.

ON APPEAL FROM THE UNITED STATES DISTRICT COURT

FOR THE EASTERN DISTRICT OF NEW YORK

6/23

GOVERNMENT'S APPENDIX



DAVID G. TRAGER,
United States Attorney,
Eastern District of New York.

PAGINATION AS IN ORIGINAL COPY

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Redacted Informant File of William C. McMillan	2-35

THE DEPARTMENT OF THE TREASURY
BUREAU OF ALCOHOL, SMOKE AND FIREARMS
13.8, C.R.

Customs Form 6415(8/70)

LABORATORY REPORT

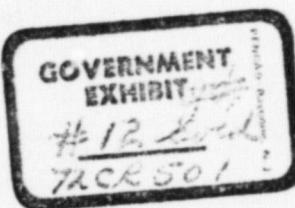
9.1 1t		DATE 4-28-72	LAB NO. D 10066/67
SAMPLE SUSPECTED HEROIN SEIZURE		LABORATORY NEW YORK NY	RECEIVED 4-27-72
SUBMITTED BY CSO FROMKIN # 1051 ROCKAWAY OFFICE		PORT	ORIGIN
IMPORTER	MAKER	MARKS	
OTHER DATA DFDT: ISAAC DANIELS DETROIT MICH SZ# 72-24701-07296			
INFORMATION REQUIRED IF CONTROLLED			

The plastic bag contains heroin hydrochloride (36.5%) admixed with lactose.

Net weight received 495 grams

Net weight returned 485 grams

~~Received from and returned to CSO Fromkin~~



SIGNATURE OF CHEMIST
M. WEINBERG

SIGNATURE OF CHIEF CHEMIST
R. E. LANG

M. Weinberg

R. E. Lang

-1a-

THE DEPARTMENT OF THE TREASURY
BUREAU OF CUSTOMS
U.S. C.R.

Customs Form 6415(8/70)

9.1 J1

LABORATORY REPORT

D10066

D10066

APR 28 1972

LAB NO.

SAMPLE

SEIZURE *Insulated Bag*

LABORATORY

RECEIVED

APR 28 1972

TSUS NO.

SUBMITTED BY

CSO FROMKIN # 1061 ROCKAWAY. OFFICE

PORT

ORIGIN

ENTRY NO.

IMPORTER

DFDT. ISAAC DANIELS DETROIT, MICH.

OTHER DATA

GOVERNMENT
EXHIBIT

MARKS

SZ. # 72-24701-07296

INFORMATION REQUIRED

IF CONTROLLED

#3 Event
710501

The ~~Plastic bag~~ contains sodium hydrochloride (36.5%) admixed with lactose.

Net weight received 495 grams

Net weight returned 485 grams

Received from and returned to ~~CSO~~ CSO FROMKIN

DNA

gjchp

APR 29 1972
RJ

Read in mm by adding per cent by + paper
product

$$\begin{array}{r} \text{grams} \quad 5691 \\ \quad \quad \quad 20 \\ \hline \quad \quad \quad 489 \\ + \quad \quad \quad 68 \text{ (in product)} \\ \hline \quad \quad \quad 4959 \end{array}$$

line 20g plate
Rectangular

15- $\frac{\text{Beta} \left(-10g \right)}{10g} = 47.5 -$

in cm (Margin it
paper + 11Hg from

11Pt 200-28-

$$\begin{array}{r} \text{FeCl}_3 + \text{C}_2 + \text{Iodine} - \\ \text{Sandy} - \text{Gravel} - \\ \hline \text{C}_1 \text{H}_6 \text{O}_2 \text{ set} \quad S = 0.5-105 \\ \hline 55.3790 \\ 55.1925 \\ \hline 186.5 \times 100 = 36.5 \% \\ 5105 \end{array}$$

$$186.5 \div 17272 (g = \text{weight}) = \text{percent HCl}$$

Standard Form No. 1163
Form prescribed by
Comptroller General, U. S.
March 19, 1952
General Regulations No. 103

RECEIPT FOR CASH—SUBVOUCHER

SUBVOUCHER No. *749-24-1*

(To be used when invoice is not available)

DATE *July 24, 1952*

Received in cash from *Frederick W. Ford*
One Thousand, Five Hundred Dollars *00* and *\$ 1,500.00* for the following:
100

QUANTITY	ARTICLES OR SERVICES	AMOUNT
	Payment for services rendered in	<i>1,500.00</i>

Vendor *Bur of Narcotics & Dan. Drugs*
Address *90 Church Street - Room 605*
New York, New York 10007

16-96260-1 GPO

By *W. W. T. M. T. C.*
(Signature of Vendor/Agent)

Title _____
(DO NOT SIGN IN DUPLICATE)

PURPOSE (Project, etc.)

APPROPRIATION AND ACCOUNTING CLASSIFICATION

Standard Form No. 1165
 Form prescribed by
 Comptroller General, U. S.
 March 10, 1952
 General Regulations No. 103

RECEIPT FOR CASH—SUBVOUCHER

SUBVOUCHER No. _____

(To be used when invoice is not available)

DATE

1952 24/10/52

Received in cash from Frederick W. Ford

Five Hundred Dollars

and 00 (\$500.00)
 100

) for the following:

QUANTITY	ARTICLES OR SERVICES	AMOUNT
	Payment for services rendered in case	500.00

Vendor Bur. of Narcotics & Dangerous Drugs

Address 90 Church Street - Room 605
New York, New York 10007

By William L. McMillen
 (Signature of Vendor/Agent)

Title _____

(DO NOT SIGN IN DUPLICATE)

PURPOSE (Project, etc.)

APPROPRIATION AND ACCOUNTING CLASSIFICATION

Standard Form 1165
 7 GAO 5100
 1165-105

RECEIPT FOR CASH—SUBVOUCHER

SUBVOUCHER No. _____

(To be used when invoice is not available)

DATE

1952 16/10/52

Received in cash from Frederick W. Ford

One Hundred Dollars

and 00 (\$100.00)
 100

) for the following:

QUANTITY	ARTICLES OR SERVICES	AMOUNT
	Payment for services rendered	100.00

Vendor Bur. of Narcotics & Dangerous Drugs

Address 90 Church St-Rm 605
New York, New York 10007

By William L. McMillen
 (Signature of Vendor/Agent)

Title _____

(DO NOT SIGN IN DUPLICATE)

PURPOSE (Project, etc.)

APPROPRIATION AND ACCOUNTING CLASSIFICATION

Received in cash from Frederick W. Ford

One hundred dollars

and 00 (\$100.00)
 100

) for the following:

QUANTITY	ARTICLES OR SERVICES	AMOUNT
	For services rendered	100.00

Vendor Bur. of Narcotics & Dangerous Drugs

Address 90 Church St., New York, N.Y. 10007

Frederick W. Ford

By William L. McMillen
 (Signature of Vendor/Agent)

Title _____

(DO NOT SIGN IN DUPLICATE)

PURPOSE (Project, etc.)

APPROPRIATION AND ACCOUNTING CLASSIFICATION

Standard Form 1165
7 GAO 5100
1165-105

RECEIPT FOR CASH—SUBVOUCHER

SUBVOUCHER No.

DATE 2/13/70

(To be used when invoice is not available)

Frederick Ford
Frederick Ford, Special Agent

Received in cash from _____

Seventy dollars _____ and 00 (\$ 75.00) for the following:

QUANTITY	ARTICLES OR SERVICES	AMOUNT
	For services rendered in connection with case	<u>75.00</u>

BNDO 90 Church St,
Vendor New York, N.Y.

Address _____

Frederick Ford

By *W.H. C. Williams*

(Signature of Vendor/Agent)

Title _____

(DO NOT SIGN IN DUPLICATE)

PURPOSE (Project, etc.)

APPROPRIATION AND ACCOUNTING CLASSIFICATION

Standard Form No. 1165
Form prescribed by
Comptroller General, U. S.
March 10, 1932
General Regulations No. 103

RECEIPT FOR CASH—SUBVOUCHER

(To be used when invoice is not available)

SUBVOUCHER No.

DATE

17-26-1976

Received in cash from Frederick W. Ford

Five Hundred Dollars and 00/100 (\$500.00) for the following:

QUANTITY	ARTICLES OR SERVICES	AMOUNT
	Payment for services rendered in case .	500.00

Vendor Bur. of Narcotics & Dangerous Drugs
Addre. 90 Church Street - Room 605
New York, New York 10007

16-66266-1 GPO

By John L. Miller
(Signature of Vendor/Agent)
Title

(DO NOT SIGN IN DUPLICATE)

PURPOSE (Project, etc.)

APPROPRIATION AND ACCOUNTING CLASSIFICATION

Standard Form No. 1165
Form prescribed by
Comptroller General, U. S.
March 10, 1952
General Regulations No. 103

RECEIPT FOR CASH—SUBVOUC'

SUBVOUCHER NO.

(To be used when invoice is not available)

DATE

1/12/76

Received in cash from Frederick W. Ford

Five Hundred Dollars and 00 (\$ 500.00) for the following:

QUANTITY	ARTICLES OR SERVICES	AMOUNT
	<u>Payment for services rendered in</u>	<u>500.00</u>

Vendor Bur of Narcotics & Dangerous Drugs
90 Church Street - Room 605
Address
New York, New York 10007

16-65269-1 GPO

By

(Signature of Vendor/Agent)

Title

(DO NOT SIGN IN DUPLICATE)

PURPOSE (Project, etc.)

APPROPRIATION AND ACCOUNTING CLASSIFICATION

157158 EVEJE

ADS-2

G.P.-G.Y.

Wec: J. G. G. G.

Read 3/27/70

5:15 PM

RAAUIJAZ RUEVFBQ0275 0862206-CCCC--RUEVEJE.

JNDD

FM BNDD HQS WASH DC

TO BNDD NEW YORK

BT

YOUR REQUEST TO PAY THE SUM OF \$500 TO

IS

CONFIRMED.

GEORGE M. BELK, ENFC

BT

NNNN
157158 EVEJE

Information
file PG

P

UNITED STATES GOVERNMENT

Memorandum

DEPARTMENT OF JUSTICE

2
ARD-2-1
GP-5-2

TO : Mr. William J. Durkin
Regional Director
New York Regional Office

DATE: July 21, 1970

FROM : John R. Enright
Chief of Operations

SUBJECT: Cash Payment to Case File

Based on telephone conversation between Acting Regional Director Maher and Mr. Hinds, ENFC, relating to this informant's reluctance to accept payment by check, I approve payment of \$1,500 in cash for services performed.

John R. Enright

c.e. - draft file

UNITED STATES DEPARTMENT OF JUSTICE
BUREAU OF NARCOTICS AND DANGEROUS DRUGS

REPORT OF INVESTIGATION

IDENTIFIER

PROGRAM CODE

FILE NUMBER

FILE TITLE

Informant File

REPORTED

OTHER OFFICE'S

STATUS

BY Special Agent
Frederick W. Ford

DATE July 23, 1970

AT New York, New York

ACTIVE
 OTHER OFFICE ACTION REQUESTED
 REQUESTED ACTION COMPLETED
 CLOSED

PAGE 1 OF 2

REPORT RE: Cooperation of

- Status Report.

Reference is made to Special Agent Frederick W. Ford's memorandum report dated April 1, 1970, relative to the cooperation of and all previous reports regarding

volunteered to cooperate with BNDD. He made it clear that he was working for monetary gains. As a result of his cooperation, three investigations were initiated and one case, with four defendants which has been completely developed,

On January 5, 1970, when volunteered his service as an informant, for BNDD, he told Agents of this Bureau that he had two New York State cases pending, one for burglary and the other for possession of heroin. On May 21, 1970, pleaded guilty to criminal possession of a Dangerous Drug, class D, felony before Judge Irwin Brownstein at Brooklyn, New York. On the same day, May 21, 1970, Judge Brownstein gave a conditional discharge and closed this case. The burglary case is still pending.

is a paid-informant and he has been paid \$2,775.00 to date.

SIGNATURE (Agent)

Special Agent, Frederick W. Ford

APPROVED

Group Supervisor, Bruce E. Jensen

Headquarters: 2cc

Region #2 Informant File

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PROSECUTOR - COPY

8/27/83-70

On one occasion
and Paul OATS told me
of how much money could be made selling narcotics in the Detroit,
Michigan area and suggested that we supply them with narcotics.

August 14, 1970

BND FORM 61 REV. 2/70

UNITED STATES DEPARTMENT OF JUSTICE
BUREAU OF NARCOTICS AND DANGEROUS DRUGS

REPORT OF INVESTIGATION

FILE

FILE

Informant File

IDENTIFIER | PROGRAM CODE

REPORTED BY DATE AT	OTHER OFFICERS	STATUS
Special Agent Frederick W. Ford August 14, 1970 New York, New York		<input checked="" type="checkbox"/> ACTIVE <input type="checkbox"/> OTHER OFFICE ACTION <input type="checkbox"/> REQUESTED <input type="checkbox"/> REQUESTED ACTION <input type="checkbox"/> COMPLETED <input type="checkbox"/> CLOSED
		PAGE 1 OF 2

REPORT

On August 12, 1970, special Agent Frederick W. Ford debriefed who supplied the following information relative to narcotics traffickers in other areas who have sources of supply in the New York area.

Paul OATS - Phone 313 - 834-5882

SIGNATURE (Agent)

Special Agent Frederick W. Ford

Headquarters: ENFC 2cc

Regions: 4, 5, & 6... 2cc each

Region #2 Informant File only

PROVED Name and title

Group Supervisor Bruce Jensen

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dit 8/19/70

PREVIOUS EDITIONS ARE OBSOLETE

PROSECUTOR - COPY

CONFIDENTIAL

George W. Felt, Chief, Criminal
Investigations Division, FEDC

August 13, 1970

William J. Durkin
Deputy Director

Informant Briefing -

- Supplied the following on traffickers who have
sources of supply in New York.

Paul OATS

Phone: 312-834-5082

CONFIDENTIAL

BEST COPY AVAILABLE

END FORM 61 REV. 7-70

REPORT OF INVESTIGATION

PAGE 1 OF 2

FILE TITLE

Informant File

IDENTIFIER

FILE NUMBER

PROGRAM CODE

ACTIVE CLOSED REQUESTED ACTION
COMPLETED
 ACTION REQUESTED
 FROM

BY Special Agent
AT Frederick W. Ford
DATE New York, New York
October 20, 1970

OTHER OFFICERS

CROSS
FILE

RELATED FILES

REPORT RE:

Status, relative to the cooperation of

is hereby d

is a paid informant
past 60 days, however, he is
Bureau of Narcotics and Dangerous Drugs

REGION #2 informant file.....X

DISTRICT Ent. div. - 120

OTHER Headquarters ENFC:.....?cc

SIGNATURE (Agent)

Special Agent Frederick W. Ford

APPROVED (Name and title)

Group Supervisor Bruce E. Jensen

DATE

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Bureau of Narcotics and Dangerous Drugs
Department of Justice

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UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Jerry Jenson
Acting Regional Director

FROM : Mr. Bruce E. Jensen
Group Supervisor, Group #5

SUBJECT: *X:J*

DATE: October 22, 1970

X:J
has just finished testifying in Court,
relative to case . His testimony was instrumental
in convicting all defendants for sale of narcotics and
conspiracy. These defendants are
considered dangerous.

I have previously discussed this problem with you, and
inquired into the feasibility of paying the moving expenses of

At that time you instructed me to ascertain
what financial burden would be imposed upon this Bureau to
accomplish this so that you could make a decision in this matter.

Attached hereto are two documents submitted by the SCI.
One is an estimate of the cost of moving the SCI's household
effects in the amount of \$267.50, the other is a lease agreement
reflecting monthly payments of \$170.00 per month.
advises that to consummate the lease he will be required to put
two months rent in escrow and pay one months rent in advance,
an additional total of \$510.00. Therefore, it would cost the
Bureau a total of approximately \$777.50 to relocate

Bruce E. Jensen
Bruce E. Jensen
Group Supervisor, Grp. #5

cc: above



Buy U.S. Savings Bonds Regularly on the Payroll Savings Plan

BND FORM 6 (REV. 7-70)

REPORT OF INVESTIGATION

PAGE 1 OF 1

FILE TITLE	IDENTIFIER	FILE NUMBER
Informant File	PROGRAM CODE	
<input checked="" type="checkbox"/> ACTIVE <input type="checkbox"/> CLOSED <input type="checkbox"/> REQUESTED ACTION <input checked="" type="checkbox"/> ACTION REQUESTED <input type="checkbox"/> COMPLETED FPOV	OTHER OFFICERS	CROSS FILE <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
BY Albert L. Parrish Jr., S/A New York, New York AT		RELATED FILES
DATE December 24, 1970		
REPORT RE: Status relative to the cooperation of		

On December 30, 1969, volunteered to cooperate with BNDD in initiating and developing cases against major narcotics traffickers. He made it clear that he was working for monetary gains. As a result of his cooperation, three investigations were initiated. One case, has been completely developed and prosecuted.

was paid \$777.00 to relocate to avoid possible reprisals for his cooperation with the Bureau of Narcotics and Dangerous Drugs, in

is hereby declared active has been paid a total of \$3,552.50 by the Bureau of Narcotics and Dangerous Drugs for service rendered.

is awaiting sentence in a pending New York State case for accepting stolen property. This case is a misdemeanor.

REGION #2 Informant Files.....X
DISTRICT
OTHER Headquarters BNFC.....2cc
Virtue - 100-100-

SIGNATURE..... Albert L. Parrish Jr.
Albert L. Parrish Jr., Special Agent
APPROVED Name and title
Bruce L. Jensen
Bruce L. Jensen, Cpt, Supervisor
DATE 1/1/71

Dec. 29/70 F. J.

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Bureau of Narcotics and Dangerous Drugs
Department of Justice

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REPORT OF INVESTIGATION

PAGE 1 OF 1

FILE TITLE Informant File	IDENTIFIER PROGRAM CODE	FILE NUMBER
<input checked="" type="checkbox"/> ACTIVE <input type="checkbox"/> CLOSED <input type="checkbox"/> REQUESTED ACTION <input type="checkbox"/> ACTION REQUESTED FROM	OTHER OFFICERS	CROSS <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
BY Frederick W. Ford, S/A AT New York, New York DATE February 24, 1971 REPORT RE:	RELATED FILES	
Status relative to the cooperation of		

is a voluntary informant.

he was responsible for the initiation of three investigations, of which one case, with four defendants, has been completely developed and prosecuted.

. is a paid informant and he has been paid a grand total of \$3,552.50 by Bureau of Narcotics and Dangerous Drugs. However, he has not been paid any money in the past 60 days.

... is awaiting sentence in a pending New York State case for accepting stolen property. This is a misdemeanor.

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Department of Justice

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(2)

X

183144
183144 EVFBQ

cc-Inf. files
cc-Fiscal

Sent: 3/15/71
5:04 p.m.

RAAUIJAZ RUEVEJE0065 0742200-CCCC--RUEVFBQ.
JNDD
FM BNDD NEW YORK
TO BNDD HQS WASHDC ATTN: MR. MONASTERO, FP
BT

INFORMANT RELOCATION EXPENSES INCURRED THE FOLLOWING

\$ 777.50

17

REPORT OF INVESTIGATION

PAGE 1 / OF 1

FILE TITLE	IDENTIFIER	FILE NUMBER
	PROGRAM CODE	
COOPERATING INDIVIDUAL		
<input checked="" type="checkbox"/> ACTIVE <input type="checkbox"/> CLOSED <input type="checkbox"/> REQUESTED ACTION <input type="checkbox"/> ACTION REQUESTED FROM	OTHER OFFICERS	<input type="checkbox"/> CROSS FILE <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
BY Special Agent AT Frederick W. Ford DATE New York, New York April 26, 1971		

REPORT RE:

Status Relative to the Cooperation of

DETAILS:

1. Reference is made to Special Agent Frederick W. Ford's memorandum report dated February 24, 1971, relative to the cooperation of
2. is a voluntary informant.
3. not initiated any cases since the date of the above memorandum report, however, he was responsible for the initiation of three investigations, of which one case, , has been completely developed and prosecuted.
4. is a paid informant and he has been paid a grand total of \$3,552.00 by ENDD. However, he has not been paid any money in the past 60 days.
5. is awaiting sentence in a pending New York State case for accepting stolen property. This is a misdemeanor.

REGION	ENFC.....lcc	SIGNATURE (Agent)
DISTRICT	RIU.....lcc	Special Agent Frederick W. Ford
OTHER	Files.....xcc	APPROVED (Name and Title) Group Supervisor Ronald J. Caffrey
		DATE 5/3/71

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Department of Justice

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END FORM SURVEY 7/721

REPORT OF INVESTIGATION

PAGE 1 OF 1

FILE TITLE SC1-0-0002	IDENTIFIER	FILE NUMBER
	PROGRAM CODE COOPERATING INDIVIDUAL	
<input checked="" type="checkbox"/> ACTIVE <input type="checkbox"/> CLOSED <input type="checkbox"/> REQUESTED ACTION <input type="checkbox"/> ACTION REQUESTED <input type="checkbox"/> COMPLETED <input type="checkbox"/> FROM	OTHER OFFICERS	<input checked="" type="checkbox"/> CROSS FILE <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
BY Special Agent AT M.J. Steinberg DATE New York, N.Y. July 2, 1971		RELATED FILES

REPORT RE: Status Relative to the Cooperation of

DETAILS:

1. Reference is made to Special Agent Frederick W. Ford's memorandum report dated April 26, 1971, relative to the cooperation of
2. is a voluntary informant.
3. has not initiated any cases since the date of the above memorandum report; however, he was responsible for the initiation of three investigations, of which one case, , has been completely developed and prosecuted.
4. is a paid informant and he has been paid a grand total of \$3,55200 by BNDD. However, he has not been paid any money in the past 60 days.
5. was granted a conditional discharge relative to his New York State misdemeanor case.
6. has been interviewed by Group Supervisor Frederick W. Ford within the past 60 days.
7. It is requested that the utilization of be continued.

REGION	ENFC.....	ICC	SIGNATURE (Action)	
DISTRICT	RIU.....	lcc	<i>M. J. Steinberg</i>	
OTHER	Files.....	xcc	M. J. Steinberg, Special Agent	
<i>dis. 7-14-71</i>			APPROVED (Name and title)	<i>Frederick W. Ford</i>
			Frederick W. Ford, Group Supervisor	
			DATE	<i>7/14</i>

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Department of Justice

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BNDD FORM 5-572-1

REPORT OF INVESTIGATION

PAGE 1 OF

FILE TITLE	IDENTIFIER	FILE NUMBER
	PROGRAM CODE	
Cooperating Individual		
<input type="checkbox"/> ACTIVE <input type="checkbox"/> CLOSED <input type="checkbox"/> REQUESTED ACTION <input type="checkbox"/> ACTION REQUESTED <input type="checkbox"/> COMPLETED <input type="checkbox"/> FRCM	OTHER OFFICERS	CROSS FILE <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
BY Garfield Hammonds Jr. AT New York, New York DATE September 7, 1971		RELATED FILES

REPORT RE:

Status relative to the cooperation of

DETAILS:

1. Reference is made to G/S Frederick W. Ford's memorandum dated July 2, 1971, relative to the cooperation of

2. S-Cl-0-0002 is a Class 1 voluntary informant.

3. Has not initiated any cases since April 26, 1971;

5. Was debriefed by G/S Frederick Ford during the 60 day period.

6. Is a paid informant and has been paid a grand total of \$3,552.00 by BNDD. However, the CI has not received any money in the last 120 days.

7. It is requested that the utilization of be continued.

REGION #2, Informant Files - xxx cc	SIGNATURE (Agent)
DISTRICT RIU ---- 1 cc	Garfield Hammonds Jr.
OTHER BNDD, EXNEC	APPROVED (Name and Title) Frederick W. Ford, Supervisor
1st 17-71	DATE 9/9/71

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Department of Justice

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BND FORM 6 1-7-60

REPORT OF INVESTIGATION

PAGE 1 OF 1

FILE TITLE	IDENTIFICATION	FILE NUMBER
	PROGRAM CODE	
Cooperating Individual		
<input checked="" type="checkbox"/> ACTIVE <input type="checkbox"/> CLOSED <input type="checkbox"/> REQUESTED ACTION ACTION REQ. DATED <input type="checkbox"/> COMPLETED FROM:	OTHER OFFICES	CROSS FILE <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
BY Garfield Hammonds Jr. AT New York, New York DATE November 2, 1971		

REPORT RE: Cooperating Individual status for 60-Day Status Report - November

DETAILS:

1. Reference is made to S/A Garfield Hammonds' memorandum dated September 7, 1971
regarding the cooperation of

ANDREW J. FORD
TITAN P:

5. was debriefed by G/S Frederick W. Ford during the 60 day period.

6. is a paid informant and has received a grand total of \$3,752.00, paid by BMD. The CI received \$200.00 during the last 60 day period.

7. It is requested that the utilization of be continued.

REGION	SIGNATURE (Agent)
DISTRICT	Garfield Hammonds Jr., Special Agent
OTHER	APPROVED (Name and title) Frederick W. Ford, Group Supervisor
	DATE 11/10/71

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REPORT OF INVESTIGATION

PAGE 1 OF 1

FILE TITLE	IDENTIFIER	FILE NUMBER
	PROGRAM CODE	
Cooperating Individual		
<input checked="" type="checkbox"/> ACTIVE <input type="checkbox"/> CLOSED <input type="checkbox"/> REQUESTED ACTION <input type="checkbox"/> ACTION REQUESTED <input type="checkbox"/> FROM	OTHER OFFICERS	CROSS FILE
BY S/A Garfield Hammonds Jr. AT New York, New York DATE December 7, 1971		<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
REPORT RE: 60-Day Status Report - December		

DETAILS:

1. Reference is made to S/A Garfield Hammonds' memorandum dated November 7, 1971 relative to the cooperation of

5. was debriefed by G/S Frederick W. Ford during the 60 day period.
6. is a paid informant and has received a grand total of \$3,752.00 paid by BNDD. The CI received \$200.00 during the last 60 day period.
7. It is requested that the utilization of be continued.

REGION	SIGNATURE (Agent)
DISTRICT	Garfield Hammonds Jr. Garfield Hammonds Jr., Special Agent
OTHER	APPROVED (Name and title) Frederick W. Ford, Group Supervisor
	DATE 12/9/71

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Department of Justice

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END FORM G (REV. 7/72)

REPORT OF INVESTIGATION

PAGE 1 OF

FILE TITLE	IDENTIFIER	FILE NUMBER
	PROGRAM CODE	
Cooperating Individual		
<input type="checkbox"/> ACTIVE <input type="checkbox"/> SUSPENDED <input type="checkbox"/> PENDING ACTION <input type="checkbox"/> COMPLETED <input type="checkbox"/> ACTION REQUESTED <input type="checkbox"/> FROM:	OTHER OFFICERS	CROSS FILE <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
BY Garfield Hammonds Jr. AT Special Agent DATE New York, New York 2/17/72		RELATED FILES
REPORT RE: 60 Day Status-February		

DETAILS:

1. Reference is made to S/A Garfield Hammonds memorandum dated December 7, 1971 relative to the cooperation of
- 2.
- 3.

4. During the last two months several attempts have been made to contact with negative results. no longer resides at his previous address and all attempts to determine his present abode has proved abortive. has not contacted Region 2 during this 60 day period.
5. was not available for debriefing during the 60 day period.
6. is a paid informant and has received a grand total of \$3,752.00 paid by BNDD.
7. has not received any funds during the last 120 days.
8. Due to non cooperation is requested to be deactivated.

REGION	SIGNATURE (Agent)
DISTRICT	Garfield Hammonds Jr.
OTHER	APPROVED (Name and title) Frederick W. Ford, Group Supervisor
	DATE

OFFICIAL USE ONLY
Bureau of Narcotics and Dangerous Drugs
Department of Justice

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January 20, 1975

Mr. Arnold Taub
Chief of Narcotics Bureau
Brooklyn District Attorney's Office
Municipal Building
Room 506
Brooklyn, New York 11201

Reference: William C. McMillan

Dear Mr. Taub:

Reference is made to a telephone conversation between Mr. Andrew Carson, Assistant District Attorney, and Group Supervisor Frederick W. Ford, Region 2, Drug Enforcement Administration. Group Supervisor Ford informed Mr. Carson that William C. McMillan had rendered very satisfactory service for Drug Enforcement Administration in the past, and he has agreed to cooperate with Drug Enforcement Administration again in initiating cases against major narcotic traffickers.

At the present time, William C. McMillan is incarcerated at the Brooklyn House of Detention on a narcotics charge. His bond is \$15,000.00.

It would be appreciated if consideration would be given to reducing McMillan's bond to \$5,000.00.

Thank you for your consideration in this matter.

Very truly yours,

Carl L. Jackson;
Assistant Regional Director

cc: 10 *CW*
above
12 *PLW*

12/FW/aw/5015

BEST COPY AVAILABLE

January 22, 1975

Mr. Arnold Taub
Chief of Narcotics Bureau
Brooklyn District Attorney's Office
Municipal Building
Room 506
Brooklyn, New York 11201

Reference: William C. McMillan

Dear Mr. Taub:

Reference is made to my letter to you dated January 20, 1975 in which I stated that it would be appreciated if consideration would be given to reducing William's bond to \$5,000.00 FOB.

Since the writing of my previous letter, I have learned that New York State does not have a system by which prisoners are released on their personal recognizance. Therefore, it would be appreciated if McMillan's bond would be reduced to \$5,000.00, with the \$500.00 to be paid in cash.

Thank you for your consideration in this matter.

Very truly yours,

Carl L. Jackson
Assistant Regional Director

above

:c: 10
12

12/EWF/ae/5015

- XAVT:

Page 2

ivity of the charges against Mr. McMillan are fully realized by this however, we feel that his assistance could be of substantial value otic enforcement. Mr. McMillan has been advised that the only tation for his cooperation would be that your office would be made it of his productivity. Review of his arrest record gives no on of Mr. McMillan's fleeing to avoid prosecution.

!, it would be appreciated that consideration be given to reducing lan's bail to \$750.00 cash which he indicated that he could meet. for your consideration.

Very truly yours,

Robert Nickoloff
Acting Regional Director



UNITED STATES DEPARTMENT OF JUSTICE
DRUG ENFORCEMENT ADMINISTRATION
555 West 57th Street Suite 1900
New York, New York 10019

July 11, 1975

Mr. Arnold Taub
Chief of Narcotics Bureau
Brooklyn District Attorney's Office
Municipal Building
Room 506
Brooklyn, New York 11201

Reference: William C. McMillan

Dear Mr. Taub:

On October 29, 1974, William C. McMillan was arrested by the New York City Police Department for Criminal Sale and Possession of a Control Substance (PL220.43 and PL220.21, respectively), and has been incarcerated since that date. It is our understanding he is the only defendant in the case still incarcerated and that his present bail is set at \$7,600.00 cash/surety.

Mr. McMillan has provided information to this office in the past which has resulted in the conviction of several defendants in major narcotics cases including the case of heroin and the arrests of five defendants in New York, New Jersey and Connecticut. The Court was instrumental in the conviction of several of the defendants and it is anticipated that he will appear as a Government witness in a pending case. Additionally, he has provided information regarding noted narcotic traffickers in the Metropolitan New York area as well as the Detroit, Michigan and Atlanta, Georgia areas.

Mr. McMillan has conferred with Group Supervisor Frederick W. Ford and Special Agent Vincent Velotta recently and expressed a willingness to again cooperate with the Drug Enforcement Administration. He has already provided information resulting in the tentative identification of a defendant in an ongoing investigation in which several controlled substance exhibits were purchased including 115 grams of cocaine. Mr. McMillan also has provided intelligence information which should lead to the immediate initiation of four separate investigations of documented narcotic traffickers in the over one-half kilogram level. It should be noted that Mr. McMillan's assistance would be essential in the introduction of undercover agents to prospective defendants in these anticipated investigations.

10-60-74 - Miltay McMillan

Malyn 15420-43
S7 19408 01 rev
Subst
15420, 21
Oceanside
Subst

2 RD
S7- M/MER
1 RD

11-6-74 - Miltay McMillan

Malyn 15420-43
S4 21256 Oceanside
Subst
15420, 43
Oceanside
Subst.

BEST COPY AVAILABLE

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
WASHINGTON, D.C. 20537

—IDENTIFICATION-DIVISION—

2.

The following FBI record, NUMBER 579 590 A, is furnished FOR OFFICIAL USE ONLY.

CONTRIBUTOR OF FINGERPRINTS	NAME AND NUMBER	ARRESTED OR RECEIVED	CHARGE	DISPOSITION
PD NY NY	William C. McMillan #216918	6-21-67	1. 1751 PL unconditional Possession Heroin disch. 2. Burg	
NYC Recept Class Center East Elmhurst NY	William McMillan #368-572	2-2-68	unauthorized use of vch	\$200 or 60 da
PD NY NY	William C. McMillan #216918	6-3-68	PL 220.15-1 D fel crim poss dang drug - 2nd	
PD NY NY	William McMillan #B 216 918	9-27-68	PL 170.25-D fel-0-crim poss Fg inst-2nd	
PD NY NY	William McMillan #B216-918	12-10-71	PL 12-.05-02 Aslt 2	
PD NY NY	William McMillan SID 3783415N	11-6-74	PL 220.43 crim sale cont subt	

Information shown on this Identification Record represents data furnished FBI by finger print contributors. Where final disposition is not shown or further explanation of charge is desired, communicate with agency contributing those fingerprints.

Notations indicated by * are NOT based on fingerprints in FBI files but are listed only as investigative leads as being possibly identical with subject of this record.

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
WASHINGTON, D.C. 20537

7/3/67

-IDENTIFICATION-DIVISION--

The following FBI record, NUMBER 579 590 A is furnished FOR OFFICIAL USE ONLY.

CONTRIBUTOR OF FINGERPRINTS	NAME AND NUMBER	ARRESTED OR RECEIVED	CHARGE	DISPOSITION
PD NYC NY	Willie Charles McMillan #B-216918	6-14-43	GL #22	
PD NYC NY	Willie Charles McMillan #B-216918	12-6-50	Forg.	
Prob Dept Kings Co. Crs Brooklyn NY	Willie McMillan #--	12-4-50	Forg 2 PL	pleads guilty to PL 2223-51
DSW Brooklyn NY	William McMillan #27721	6-21-60	posse of counterfeit money	
USMS NY NY	William McMillan #J-1-8-CO-1840-1	5-21-60	posse & passing off notes	2 yrs Impris
PD NY NY	William McMillan #216918	12-22-60	fel aslt (knife) 240 PL	
PD Det. Higgins NY NY	William McMillan #H16888	10-26-61	E-NY: posse counterfeit money	2 yrs M Abr USDJ
Navy	Willie Charles McMillan	8-30-43	1-1308 PL 2-426 VTL false statement	
PD NY NY	William McMillan #216918	5-2-67	1-1308 PL Crim rec 2-426 VTL false statement 3-319 VTL unins. oper	

Information shown on this Identification Record represents data furnished FBI by fingerprint contributors. Where final disposition is not shown or further explanation of charge is desired, communicate with agency contributing those fingerprints.

Notations indicated by * are NOT based on fingerprints in FBI files but are listed only as investigative leads as being possibly identical with subject of this record.

PARTMENT OF JUSTICE
BUREAU OF NARCOTICS & DANGEROUS DRUGS
FD Form 103 (6/70)

VOUCHER FOR PURCHASE OF INFORMATION
AND PURCHASE OF EVIDENCE

01
Voucher No. 730
Schedule No. 247

PAID BY

11319

1. Agency ENDD, REGION 2, NEW YORK

2. Name of claimant Frederick W. Ford, Special Agent

3. Address 90 Church St., New York, N.Y.

4. This Voucher Being Used For (Check one):

Payment to operating Individual Payment of Reward Purchase of Evidence

5. REMARKS: This payment is made to appreciate for his cooperation with NYSDA Court, which resulted in the conviction of defendants.

to relocate to avoid possible re-testified in Federal.

6.

CERTIFICATION OF COOPERATING INDIVIDUAL (Check one)

a. I certify I received payment in the amount \$ 777.50 for purposes as stated above.

b. I certify that I rendered services as stated above for which payment thereof has not been received.

(Sign Copy 3 only) 11/16/70
(Identification no.)

7. I certify that payment of \$ 777.50 was made for services or evidence as stated above and that credit has not been received.

(Sign original only) 11/16/70 date 11/16/70

a. Type name of Special Agent Frederick W. Ford

b. Approval of Supervisor 11/16/70 date 11/16/70
(Type name) Bruce E. Jensen, G/S

8. Approval by Bureau when required

9. Accounting Classification

10. Pursuant to authority vested in me, I certify that this voucher is correct and proper for payment in the amount of \$ 777.50

Benjamin Truglio

date 11/5/70

(Type name of authorized certifying officer)

11. Paid by Check No.

12. Received in Cash, \$ 777.50

Signature of Claimant

date

Instructions for preparation of form are on reverse side.

Copy 3 - Cooperating Individuals File

ARTMENT OF JUSTICE
EAU OF NARCOTICS & DANGEROUS IGS
3 Form 103 (6/70)

VOUCHER FOR PURCHASE OF INFORMATION
AND PURCHASE OF EVIDENCE

Voucher No. _____
Schedule No. _____

PAID BY _____

1. Agency U.S. Dept Justice Bureau Narcotics Dangerous Drugs

2. Name of claimant Garfield Hammonds Jr.

3. Address Region 2, New York, N.Y.

4. This Voucher Being Used For (Check one):

Payment to Cooperating Individual

Payment of Reward

Purchase of Evidence

5. REMARKS. Payment to Cooperating Individual relative to information and participation in the development and initiation of and participation in the purchase of Exhibits #1, #2, #3 from Above Case Number, On 9-10-71 at New York New York.

6.

CERTIFICATION OF COOPERATING INDIVIDUAL (Check one)

a. I certify I received payment in the amount \$ 200.00 for purposes as stated above.

b. I certify that I rendered services as stated above for which payment thereof has not been received.

(Sign Copy 3 only)
(Identification no.)

William McVille date 9-10-71

7. I certify that payment of \$ 200.00 was made for services or evidence as stated above and that credit has not been received.

(Sign original only)

date 9-10-71

a. Type name of Special Agent

Garfield Hammonds Jr.

b.

Approval of Supervisor

(Type name)

Frederick W. Ford

date 9-10-71

8. Approval by Bureau when required

9. Accounting Classification

10. Pursuant to authority vested in me, I certify that this voucher is correct and proper for payment in the amount of
\$

(Sign original only)

date

(Type name of authorized certifying officer)

11. Paid by Check No. _____

12. Received in Cash, \$ _____

Signature of Claimant

date

See instructions on reverse
before completing.

VOUCHER FOR PAYMENT FOR INFORMATION
AND PURCHASE OF EVIDENCE

Voucher No. _____
Schedule No. _____

PAID BY

Drug Enforcement Administration - New York Region Office

1. Originating Office

2. Name of Claimant _____

3. This Voucher is being used for:

Payment to Cooperating Individual
 for Information
 for Reward
 for Expenses
 Purchase of Evidence

Source of Funds

G-DEP Identifier(s)

Case Number(s)

Regional funds

\$ 50.00

Central funds

\$

LEAA funds

\$

4a. Purchase of DRUG Evidence

Drug Code
(See Reverse)

OR

4b. NON-DRUG Evidence (Describe Purchase)

Quantity
(Same as DEA-7)

4c. Date and Time of Purchase

4d. Place of Purchase

4e. Purchased from (Name)

5.

CERTIFICATION OF COOPERATING INDIVIDUAL (Check one)

a. I certify I received payment in the amount of \$ *50.00 for purposes as stated above.
 b. I certify that I rendered services as stated above for which payment thereof has not been received.
 c. I certify that I have received monies in the amount of \$ _____ to be utilized only for the purchase of evidence, that any unused funds shall be returned to the Government upon demand and that misappropriation will render me liable for prosecution.

IDENTIFICATION NO.

(Sign LAST Copy Only) William J. McNamee

date 10/13/75

6. I certify that payment of \$ *50.00 was made for services or evidence as stated above and that credit has not been received.

(sign original only) _____

date 10/ 1/75

a. PAYER NAME:

(type name) SA Vincent A. Valotta

date 10/ 1/75

(sign original only) _____

date 10/ 1/75

b. WITNESS NAME:

(type name) SA Fred Sisole

date 10/ 1/75

(signature) _____

date 10/ 1/75

c. APPROVING SUPERVISOR
NAME

(type name) GS Frederick W. Ford

7. APPROVING HEADQUARTERS OFFICIAL WHEN REQUIRED

ACCOUNTING CLASSIFICATION

8. Appropriation or Fund Code	Cost Center	B/A	Control Number	Program	Project (Task Force)	Object Class	Amount
							\$
							\$

9. Pursuant to authority vested in me, I certify that this voucher is correct and proper for payment in the amount of \$ _____

Name of Authorized Certifying Officer

(sign original only) _____

date _____

(type name) _____

10. PAID BY CHECK NO.

11. Received in Cash. \$ _____

(signature of claimant) _____

date _____

RECORD OF PAYMENTS

AFFIDAVIT OF MAILING

STATE OF NEW YORK
COUNTY OF KINGS
EASTERN DISTRICT OF NEW YORK } ss

EVELYN COHEN

being duly sworn,

deposes and says that he is employed in the office of the United States Attorney for the Eastern District of New York.

two copies

That on the 25th day of June 1976 he served a copy of the within

GOVERNMENT'S APPENDIX

by placing the same in a properly postpaid franked envelope addressed to:

Talbot, Grant & McQuarrie, Esqs.
139 Cadillac Square
Detroit, Michigan 48226

and deponent further says that he sealed the said envelope and placed the same in the mail chute drop for mailing in the United States Court House, ~~Washington Street~~, Borough of Brooklyn, County of Kings, City of New York.

Evelyn Cohen

Sworn to before me this

25th day of June 1976

Carolyn N. Johnson
CAROLYN N. JOHNSON